

# G064 -Guide 3 Hazmat Investigations

## TABLE OF CONTENTS

FOREWORD	v
INTRODUCTION TO HAZMAT INVESTIGATION	vi
WHO SHOULD USE THIS GUIDE	vii
USING THE GUIDE	vii
SYMBOL KEYS	vii
<b>1. HAZMAT INVESTIGATOR’S TASKS</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.1. INVESTIGATION PREPARATIONS</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.2. UPON NOTIFICATION OF A SPECIFIC CASE, VERIFY</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.3. ON ARRIVAL AT SITE</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.4. SET SITE DATA GATHERING PRIORITIES</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.5. GET SPECIFIC HAZMAT DATA</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.6. GET SPECIFIC INCIDENT DATA</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.7. ORGANIZE INFORMATION YOU GET</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.8. GET REST OF DATA YOU NEED</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.9. READ “OBJECTS”</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.10. GET DATA FROM PEOPLE</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.11. PREPARE REPORT</b>	ERROR! BOOKMARK NOT DEFINED.
<b>1.12. DEVELOP RECOMMENDATIONS</b>	ERROR! BOOKMARK NOT DEFINED.
<b>2. GENERAL PREPARATIONS FOR HAZMAT INVESTIGATIONS</b>	ERROR! BOOKMARK NOT DEFINED.
<b>2.1. SCOPE OF INVESTIGATION PREPARATIONS</b>	ERROR! BOOKMARK NOT DEFINED.
<b>2.2. GENERAL INVESTIGATION PREPARATIONS</b>	ERROR! BOOKMARK NOT DEFINED.
<b>2.2.1. Know your objectives:</b>	Error! Bookmark not defined.
<b>2.2.1.1. Investigation scope</b>	Error! Bookmark not defined.
<b>2.2.1.2. Restarting facility operation</b>	Error! Bookmark not defined.
<b>2.2.1.3. Reporting objective</b>	Error! Bookmark not defined.
<b>2.2.2. Know your limitations</b>	Error! Bookmark not defined.
<b>2.2.3. Recognize others' interests.</b>	Error! Bookmark not defined.
<b>2.2.4. Know your investigation procedures</b>	Error! Bookmark not defined.
<b>2.2.4.1. Special data gathering procedures</b>	Error! Bookmark not defined.
<b>2.2.4.2. Practice investigation procedures.</b>	Error! Bookmark not defined.
<b>2.2.5. Prepare the equipment you will need</b>	Error! Bookmark not defined.
<b>2.2.6. Know work product quality assurance procedures</b>	Error! Bookmark not defined.
<b>2.2.7. Know sources for help</b>	Error! Bookmark not defined.
<b>2.2.8. Know contents of this guide</b>	Error! Bookmark not defined.
<b>2.2.9. Know what to do if crime is suspected</b>	Error! Bookmark not defined.
<b>3. KNOWLEDGE FOR HAZMAT INVESTIGATIONS</b>	ERROR! BOOKMARK NOT DEFINED.
<b>3.1. KNOWLEDGE OF HAZMAT</b>	ERROR! BOOKMARK NOT DEFINED.
<b>3.1.1. What a hazmat is</b>	Error! Bookmark not defined.
<b>3.1.1.1. Hazmat behaviors</b>	Error! Bookmark not defined.
<b>3.1.1.2. You need the hazmat name</b>	Error! Bookmark not defined.
<b>3.1.2. Hazmat are energy sources</b>	Error! Bookmark not defined.
<b>3.1.2.1. Energy barrier concept</b>	Error! Bookmark not defined.
<b>3.1.2.2. Containers are barriers</b>	Error! Bookmark not defined.
<b>3.1.3. How hazmats are handled</b>	Error! Bookmark not defined.
<b>3.1.3.1. Regulations</b>	Error! Bookmark not defined.
<b>3.1.3.2. Containment system design</b>	Error! Bookmark not defined.

3.1.4. Identifying hazmats	Error! Bookmark not defined.
3.2. <i>HAZMAT INCIDENT KNOWLEDGE</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
3.2.1. Stressor stresses container, hazmat (1)	Error! Bookmark not defined.
3.2.2. Container system breaches (2.1)	Error! Bookmark not defined.
3.2.3. Container “disperses” (2.2)	Error! Bookmark not defined.
3.2.4. Container impinges on exposure(s) (2.3)	Error! Bookmark not defined.
3.2.5. Container harms exposure(s) (2.4)	Error! Bookmark not defined.
3.2.6. Hazmat reacts (3.0)	Error! Bookmark not defined.
3.2.7. Hazmat escape (3.1)	Error! Bookmark not defined.
3.2.8. Hazmat disperse (3.2)	Error! Bookmark not defined.
3.2.9. Hazmat impinges on exposure(s) (3.3)	Error! Bookmark not defined.
3.2.10. Hazmat acts on exposure(s) (3.4)	Error! Bookmark not defined.
3.2.11. Hazmat harms exposure (3.5)	Error! Bookmark not defined.
3.2.12. Reaction products breach container (4.0)	Error! Bookmark not defined.
3.2.13. Reaction products escape (4.1)	Error! Bookmark not defined.
3.2.14. Reaction products disperse (4.2)	Error! Bookmark not defined.
3.2.15. Reaction products impinge on exposure(s) (4.3)	Error! Bookmark not defined.
3.2.16. Reaction products act on exposure(s) (4.4)	Error! Bookmark not defined.
3.2.17. Reaction products harm exposure(s) (4.5)	Error! Bookmark not defined.
3.2.18. Responders act to stop process (5.0)	Error! Bookmark not defined.
3.2.19. Responders ameliorate harm (5.1)	Error! Bookmark not defined.
3.3. <i>HAZMAT INCIDENT INVESTIGATION PROCESS KNOWLEDGE</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
3.3.1. Hazmat investigation process training	Error! Bookmark not defined.
3.3.2. Principles for investigators	Error! Bookmark not defined.
3.3.3. Observation tasks	Error! Bookmark not defined.
3.3.4. Data organizing tasks	Error! Bookmark not defined.
3.3.5. Logical reasoning tasks	Error! Bookmark not defined.
3.3.6. Event sequencing tasks	Error! Bookmark not defined.
3.3.7. Cause-effect linking tasks	Error! Bookmark not defined.
3.3.8. Gap handling tasks	Error! Bookmark not defined.
3.3.8.1. Additional workload approvals	Error! Bookmark not defined.
3.3.9. Finishing your investigation tasks	Error! Bookmark not defined.
3.3.10. Explaining why it happened task	Error! Bookmark not defined.
3.3.10.1. Necessary/Sufficient logic procedure	Error! Bookmark not defined.
3.3.11. Visualization aids documentation tasks	Error! Bookmark not defined.
3.3.12. Completing your investigation tasks	Error! Bookmark not defined.
3.3.12.1. Remove unlinked events	Error! Bookmark not defined.
3.3.13. Quality assurance tasks	Error! Bookmark not defined.
3.3.14. Deliverables preparation tasks	Error! Bookmark not defined.
3.4. <i>INVESTIGATION DATA SOURCES</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
3.4.1. Objects as data sources	Error! Bookmark not defined.
3.4.1.1. Think Stressors and stressees	Error! Bookmark not defined.
3.4.1.2. Acquiring events from objects	Error! Bookmark not defined.
3.4.1.3. The Six Ps	Error! Bookmark not defined.
3.4.1.4. Testing objects to get data	Error! Bookmark not defined.
3.4.2. People data sources	Error! Bookmark not defined.
3.4.2.1. How people record data	Error! Bookmark not defined.
3.4.2.2. Recognize witness types	Error! Bookmark not defined.
3.4.2.3. Know why people data changes	Error! Bookmark not defined.
3.4.2.4. Plan interviews	Error! Bookmark not defined.
3.4.2.5. Set interview objectives:	Error! Bookmark not defined.
3.4.2.6. Prepare for a specific interview	Error! Bookmark not defined.
3.4.2.7. Document your interview	Error! Bookmark not defined.
3.4.2.8. Do an interview reality check	Error! Bookmark not defined.

3.4.3. “Creating” Events to fill gaps	Error! Bookmark not defined.
3.4.3.1. Hypothesizing events	Error! Bookmark not defined.
3.4.3.2. Handling unknowns	Error! Bookmark not defined.
3.5. <i>SPECIAL HAZMAT INCIDENT INVESTIGATION CONSIDERATIONS</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
3.5.1. Watch for biases	Error! Bookmark not defined.
3.5.2. Communications	Error! Bookmark not defined.
3.5.3. Requests for information	Error! Bookmark not defined.
3.5.4. Filling in forms	Error! Bookmark not defined.
4. HAZMAT INVESTIGATION TASKS	ERROR! BOOKMARK NOT DEFINED.
4.1. <i>BEFORE DEPARTURE.</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
4.1.1. Verify ground rules for investigation	Error! Bookmark not defined.
4.1.1.1. Verify investigation objective(s)	Error! Bookmark not defined.
4.1.1.2. Verify deliverable specifications	Error! Bookmark not defined.
4.1.1.3. Verify authority, constraints	Error! Bookmark not defined.
4.1.1.4. Verify who takes your directions, or gives you direction	Error! Bookmark not defined.
4.1.1.5. Verify who resolves disputes	Error! Bookmark not defined.
4.2. <i>START YOUR DATA ORGANIZATION</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
4.3. <i>ESTABLISH CONTROL OF INVESTIGATION SITE</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
4.3.1. Identify site owners	Error! Bookmark not defined.
4.3.2. Who is in charge of the site? How long? Then who?	Error! Bookmark not defined.
4.3.3. Access and egress controls	Error! Bookmark not defined.
4.3.3.1. Site safety risks	Error! Bookmark not defined.
4.3.3.2. Site data risks	Error! Bookmark not defined.
4.3.4. Obey do no harm rule	Error! Bookmark not defined.
4.3.4.1. Protect people from change.	Error! Bookmark not defined.
4.3.4.2. Protect objects.	Error! Bookmark not defined.
4.3.5. Set security boundaries	Error! Bookmark not defined.
4.3.6. Find out who” owns” debris and can authorize tests	Error! Bookmark not defined.
4.3.7. Media contact procedures	Error! Bookmark not defined.
4.3.8. When is site control task completed?	Error! Bookmark not defined.
4.4. <i>SET DATA ACQUISITION TASK PRIORITIES AT SITE</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
4.4.1. Identify general data gathering priorities.	Error! Bookmark not defined.
4.4.2. Set up documentation materials	Error! Bookmark not defined.
4.4.3. Start data search	Error! Bookmark not defined.
4.4.4. Do Site Walkaround	Error! Bookmark not defined.
4.4.5. Document ending state of site objects	Error! Bookmark not defined.
4.5. <i>GET SPECIFIC HAZMAT DATA</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
4.5.1. Document hazmat involved?	Error! Bookmark not defined.
4.5.2. Document containment system involved?	Error! Bookmark not defined.
4.5.3. Document other hazmat involved	Error! Bookmark not defined.
4.6. <i>GET SPECIFIC INCIDENT DATA</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
4.6.1. Find container stressor(s)	Error! Bookmark not defined.
4.6.2. Apply Hazmat Incident Model to guide data search.	Error! Bookmark not defined.
4.6.3. Identify energy barriers	Error! Bookmark not defined.
4.6.3.1. Physical barriers	Error! Bookmark not defined.
4.6.3.2. Space as barrier	Error! Bookmark not defined.
4.6.3.3. Quantity limits	Error! Bookmark not defined.
4.6.3.4. Procedural controls	Error! Bookmark not defined.
4.6.3.5. Summary of barriers	Error! Bookmark not defined.
4.7. <i>ORGANIZE INFORMATION YOU GET</i>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
4.7.1. Document people and objects involved	Error! Bookmark not defined.
4.7.1.1. Identify people “witnesses	Error! Bookmark not defined.
4.7.1.2. Identify object witnesses	Error! Bookmark not defined.

<b>4.8. GET REST OF DATA YOU NEED</b>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
<b>4.8.1. Ask first responders</b>	Error! Bookmark not defined.
<b>4.8.2. Test events as they are documented</b>	Error! Bookmark not defined.
<b>4.8.3. Fill gaps in your worksheet</b>	Error! Bookmark not defined.
<b>4.9. "READ" OBJECTS</b>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
<b>4.9.1. Useful strategies:</b>	Error! Bookmark not defined.
<b>4.9.2. Procedures for reading objects</b>	Error! Bookmark not defined.
<b>4.9.3. Additional observations</b>	Error! Bookmark not defined.
<b>4.9.4. Test events as they are documented</b>	Error! Bookmark not defined.
<b>4.10. GET DATA FROM PEOPLE</b>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
<b>4.10.1. Establish your expectations of witnesses</b>	Error! Bookmark not defined.
<b>4.10.2. Interviews preparations</b>	Error! Bookmark not defined.
<b>4.10.3. People data changes</b>	Error! Bookmark not defined.
<b>4.10.4. Interview procedures</b>	Error! Bookmark not defined.
<b>4.10.4.1. Conducting your interviews,</b>	Error! Bookmark not defined.
<b>4.10.4.2. Some interview Don'ts</b>	Error! Bookmark not defined.
<b>4.10.4.3. Data sources for expected behaviors</b>	Error! Bookmark not defined.
<b>4.10.5. Test events as they are documented</b>	Error! Bookmark not defined.
<b>4.10.6. Cut out irrelevant information and words</b>	Error! Bookmark not defined.
<b>4.10.7. Quality check your description</b>	Error! Bookmark not defined.
<b>4.11. PREPARE INVESTIGATION WORK PRODUCTS</b>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
<b>4.11.1. Prepares description and explanation of what happened</b>	Error! Bookmark not defined.
<b>4.11.2. Satisfy visualization rule</b>	Error! Bookmark not defined.
<b>4.11.3. Review investigation process.</b>	Error! Bookmark not defined.
<b>4.12. DEVELOP RECOMMENDED PERFORMANCE IMPROVEMENT ACTIONS.</b>	<i>ERROR! BOOKMARK NOT DEFINED.</i>
<b>4.12.1. Define candidate problems</b>	Error! Bookmark not defined.
<b>4.12.2. Determine which problem need fixing?</b>	Error! Bookmark not defined.
<b>4.12.3. Document problems as needs</b>	Error! Bookmark not defined.
<b>4.12.4. Fix problems selected</b>	Error! Bookmark not defined.
<b>4.12.5. Predict effects and "costs" of each candidate option</b>	Error! Bookmark not defined.
<b>4.12.6. Identify trade-offs to rank order candidates</b>	Error! Bookmark not defined.
<b>4.12.7. Do QA of best recommendations</b>	Error! Bookmark not defined.

## APPENDICES

### GLOSSARY

#### APPENDIX 1. HAZMAT INCIDENT PROCESS MODEL FOR INVESTIGATORS

#### APPENDIX 2 ENERGY SOURCES

*TABLE 1. NATURAL ENERGY SOURCES*

*TABLE 2. ENERGY FLOW CHECK LIST*

*TABLE 3. MANAGED ENERGY SOURCES*

#### APPENDIX 3 THE CONTROL OF ENERGY HAZARDS

#### APPENDIX 4. TIME/LOSS ANALYSIS OF HAZMAT RESPONSE

**Data requirements**

**T/LA plotting procedure**

**Comments**

#### APPENDIX 5 BASIC HAZMAT INCIDENT TEST PLAN ELEMENTS.

**Test plan elements**

**Optional test plan elements**

**Guiding principles**

**Test plan quality assurance**

#### APPENDIX 6. PHOTOGRAPHY SUPPORT FOR HAZMAT INCIDENT INVESTIGATORS

**Why take pictures**

**Preplan**

**10 Rules for hazmat investigation photography**

**Summary**

#### APPENDIX 7. INVESTIGATION DATA ORGANIZATION

#### REFERENCES